

White Collar Crime

5th Workshop In Washington DC 

Date/Place **Oct.20**(Fri),2023/Embassy of Japan 2520 Massachusetts Avenue NW,
Washington D.C. 20008

Moderator & Panelist Biographies



White Collar Crime

5th Workshop In Washington DC

Moderator & Panelist Biographies

PAGE OF CONTENTS

01-02 Speakers of
Each Roundtable Session

03-04 Preface

05-14 Biographies

AI initially designed and drew this illustration and completed it with human hands.



Oct.20(Fri),2023

Opening Remarks
9:00-9:15 ET



Glenn Leon

Chief, Fraud Section Criminal Division
United States Department of Justice
Biography page 05

Welcome Speech
9:15-9:30 ET



Motosada Matano

Political Minister, Embassy of Japan in the
United States of America

Closing Remarks
17:05-17:15 ET



Tadashi Sakamaki

Professor of Law at Waseda University Law School
Professor Emeritus at Kyoto University
Biography page 05

Roundtable Session 1:
9:45-11:00 ET

White-Collar Enforcement Trends



Moderator:
Daisuke Fukamizu

Partner, Nagashima Ohno & Tsunematsu
Research Professor at Shinshu University
Biography page 05



Panelist:
Brian Benczkowski

Partner, Kirkland & Ellis
Biography page 06



Panelist:
Daniel Kahn

Partner, Davis Polk & Wardwell LLP
Biography page 06



Panelist:
Lorinda Laryea

U.S. Department of Justice
Acting Co-Principal Deputy Chief,
DOJ Criminal Division, Fraud Section
Biography page 07



Panelist:
Jennifer Arlen

Norma Z. Paige Professor of Law at
New York University School of Law
Founder and Faculty Director, Program on
Corporate Compliance and Enforcement
Biography page 07



Panelist:
Matthew Jacques

Partner & Managing Director,
AlixPartners LLP
Biography page 08

Roundtable Session 2:
11:15-12:30 ET

Effective and Efficient Compliance Programs



Moderator:
Samuel Buell

Bernard M. Fishman Distinguished Professor
of Law, Duke University
Biography page 08



Panelist:
Matthew Galvin

Counsel, Compliance & Data Analytics for the
Fraud Section U.S. Department of Justice,
Criminal Division
Biography page 08



Panelist:
Eugene Soltes

Professor, Harvard Business School
Biography page 09



Panelist:
Veronica Root Martinez

Professor, Duke University School of Law
Biography page 09



Panelist:
Mark Schneider

Partner, Kirkland & Ellis
Biography page 10



Panelist:
Mark Grundvig

Assistant Chief, Washington Criminal II Office
United States Department of Justice, Antitrust
Division
Biography page 10

Roundtable Session 3:
14:00-15:15 ET

Agile Governance – New Governance Model to Address Society 5.0



Moderator:
Hiroki Habuka

Research Professor, Kyoto University Graduate
School of Law
Non-resident Fellow, Center for Strategic and
International Studies
Biography page 11



Panelist:
Tatsuhiko Inatani

Professor of Law at Kyoto University
Visiting Researcher at RIKEN AIP
Biography page 11



Panelist:
Maiko Meguro

Director International Digital Strategy and
International Affairs,
Commerce and Information Policy Bureau
Biography page 11



Panelist:
Kentaro Asai

Assistant Professor of Australian
National University
Biography page 12



Panelist:
Tetsuya Yamashita

Professor of Law at Kyoto University
Biography page 12



Panelist:
Yuichiro Watanabe

A member of the Board, Japan In-house
Lawyers Association
Biography page 12

Roundtable Session 4:
15:45-17:00 ET

Compliance and Investigation Using Data and Technology



Moderator:
Jennifer Saperstein

Partner, Covington & Burling LLP
Biography page 13



Panelist:
Yuichi Ikeda

Partner, PwC Advisory
Biography page 13



Panelist:
Shiho Tanaka

Global Sales Executive, LegalTech AI Division,
FRONTEO, Inc, SVP, Discovery Consulting
Division, FRONTEO USA, Inc.
Biography page 13



Panelist:
Vincent Walden

KonaAI CEO
Biography page 14



Panelist:
Sidney Bashago

Partner, Davis Polk & Wardwell LLP
White Collar Defense & Investigations
Biography page 14

Preface

This year will mark the fifth year that leading practitioners and academics have gathered at the Annual White Collar Crime Workshop, co-sponsored by the Japanese Embassy in Washington, DC, and Shinshu University, to share ideas and discuss developments in the field of white-collar crime. As in years past, this dialogue serves to strengthen cooperation among nations in the rule of law and justice sector. It draws experts from Japan, the United States, the United Kingdom, and other leading countries.

The conference this year includes distinguished panels on important topics such as global enforcement trends, the governance of advanced technologies including artificial intelligence, corporate compliance, and the complexities presented by data privacy and labor law in internal investigations.

The fact that the workshop has reached a five-year milestone is a measure of the friendships and relationships it has helped build--focused as always on advancing the common principles of justice that we share.

It has been honor to be included in this dialogue and I hope that the discussion proves as rich and valuable as in years past.

Mark Filip

Partner, Kirkland & Ellis

Greeting Message from Project Organizer

Due to society's increased complexity, corporate activities' internationalization, and fast-paced developments and technological changes, corporate crimes and associated governance issues have become more complex and borderless than ever. It is, therefore, of prime importance to discuss these issues internationally from the various perspectives provided by practitioners and academics.

The workshop aims to provide a global platform to discuss corporate governance and compliance-related issues faced by companies worldwide. We started this project in 2019 at the Japanese Embassy in Washington, D.C. Since then, we have continued this effort despite the difficulty and uncertainty resulting from the pandemic.

I am delighted that we can continue this event at the Japanese Embassy in Washington, D.C. I would like to express my profound appreciation to the speakers of each session, the staff, the audience, and the Japanese Embassy and Shinshu University for supporting this project. I hope our fifth workshop will be informative and helpful to each of you.

Warm regards,

Daisuke Fukamizu

Research Professor at Shinshu University,
Partner, Nagashima Ohno & Tsunematsu





Moderator & Panelist Biographies

Project Organizer/MC



Daisuke Fukamizu

**Partner, Nagashima Ohno & Tsunematsu
Research Professor at Shinshu University
E-mail: daisuke_fukamizu@noandt.com**

Daisuke Fukamizu concentrates his practice on white collar crime, regulatory compliance, antitrust and corporate crisis management. He has handled numerous internal investigations and related government actions involving alleged accounting fraud, cartel agreements, FCPA violations, and insider trading, etc. He has covered both domestic and international cases across a variety of industries including manufacturing, accounting, automotive, entertainment and media, financial services, construction and pharmaceutical. He has also been admitted to practice as a Certified Fraud Examiner (CEF) since 2012. He is a research professor at Shinshu University. He serves as a member of the research committee on governance innovation at the Ministry of Economy, Trade and Industry (METI).

In 2019, Asian Legal Business (ALB) recognized Daisuke as one of the "under 40 Asia Outstanding Legal Professionals 40". In 2020 to 2023, Legal 500 recognized him as one of the "Asia Pacific Next Generation Partners" in the field of white collar crime. Expert Guides similarly recognized him as one of the "White Collar Practitioner Rising Stars" in 2020. He was recognized as "Young Lawyer of the Year" from ALB in 2020.



Glenn Leon

Chief, Fraud Section Criminal Division United States Department of Justice

GLENN LEON is the Chief of the Fraud Section of the Criminal Division at the U.S. Department of Justice. In this capacity, Glenn oversees over 150 federal prosecutors nationwide and supervises federal criminal investigations and prosecutions involving securities fraud, commodities fraud, healthcare fraud, government procurement fraud, federal bribery offenses, and violations of the Foreign Corrupt Practices Act. Glenn rejoined the Fraud Section last year after previously serving as an Acting Deputy Chief in the Fraud Section's Securities and Financial Fraud Unit.

Glenn also served as an Assistant U.S. Attorney for the District of Columbia for twelve years, where he prosecuted white collar, organized crime, and homicide cases. During his 16 years as a federal prosecutor, Glenn tried more than 25 jury trials.

Prior to rejoining the Department of Justice, Glenn served as Senior Vice President and Chief Ethics and Compliance Officer at Hewlett Packard Enterprise (HPE) for over seven years. In that role, he was responsible for the strategic direction and oversight of HPE's ethics and compliance program, including all internal and external investigations of legal and ethics violations. He provided legal counsel to ensure adherence to the law and industry standards on matters including anti-corruption issues, securities and accounting fraud, and export controls. His team was also responsible for leading the company's compliance with privacy, global trade, social and environmental sustainability and human rights matters.

Glenn started his career in private practice as a litigation associate at two national law firms. He earned his J.D. from New York University School of Law, and graduated from Brandeis University, magna cum laude, with a degree in Economics.



Tadashi Sakamaki

**Professor of Law at Waseda University Law School
Professor Emeritus at Kyoto University**

Tadashi Sakamaki is a professor of law at Waseda University Law School and a professor emeritus at Kyoto University. He is a leading scholar in the area of criminal procedure law. He has published many influential articles and books which cover almost all areas and aspects of criminal procedure (including Textbook on Criminal Procedure Law, Book on Discovery in Criminal Cases). He has been serving as a member of the legislative council of the Ministry of Justice for more than 20 years and playing a decisive role to mold Japanese criminal justice, including enactment of layperson participation system in criminal trial, pre-trial procedure and discovery system, and negotiation procedure for gathering evidence.

Moderator/Panelist



Brian Benczkowski

**Partner, Kirkland & Ellis
Email: brian.benczkowski@kirkland.com**

Brian A. Benczkowski is a partner in Kirkland's Washington, D.C., office. He is a member of the Firm's Government, Regulatory & Internal Investigations Practice Group. Brian has deep and diverse government enforcement and investigations experience, bolstered by his service in multiple leadership positions at the United States Department of Justice (DOJ) and on Capitol Hill. He recently returned to the firm after serving for two years as the Senate-confirmed Assistant Attorney General for DOJ's Criminal Division, his sixth senior management position at the Justice Department.

During his time as Assistant Attorney General for the Criminal Division, Brian oversaw more than 600 attorneys and 1,000 total staff responsible for prosecuting many of the most wide-ranging and complex criminal cases at the Department, including securities, commodities, health care, government contracting and program fraud, as well as Foreign Corrupt Practices Act (FCPA), money laundering, public corruption, computer crime, intellectual property, Bank Secrecy Act, and opioid-related matters. While at DOJ, Benczkowski also implemented several policy changes related to how the Department of Justice prosecutes corporations. These policies included enhanced criteria for how the Criminal Division selects corporate monitors, as well as changes to the way the Division evaluates corporate compliance programs and claims of inability to pay criminal fines and penalties, revisions to the FCPA Resource Guide, amendments to the FCPA Corporate Enforcement Policy (CEP), and the FCPA Unit's publication of declination letters online.



Daniel Kahn

**Partner, Davis Polk & Wardwell LLP
Email: daniel.kahn@davispolk.com**

Daniel Kahn is a partner at Davis Polk in the White Collar Defense & Investigations group. Dan represents companies and individuals in government enforcement matters, conducting internal investigations and in compliance matters. Dan previously served for 11 years at the Department of Justice, where he held a number of senior level positions, including acting Deputy Assistant Attorney General of the Criminal Division, acting Chief of the Fraud Section, and Chief of the FCPA Unit.

The Wall Street Journal described Dan as DOJ's "most recognizable expert on the Foreign Corrupt Practices Act." At DOJ, Dan supervised matters involving the FCPA, money laundering, and fraud related to digital currency, fintechs, commodities, securities, healthcare and procurement. As Chief of the FCPA Unit, he oversaw all investigations and prosecutions involving the FCPA, and oversaw the exponential increase in multi-jurisdictional investigations, coordinating with authorities in Europe, Asia and Latin America.

Dan also played a central role in developing enforcement policies at DOJ on the FCPA, corporate enforcement, compliance and monitors.

Dan teaches Corporate Criminal Investigations at Harvard Law School and Global Anti-Corruption at Georgetown Law Center, and co-authored a treatise on corporate criminal investigations.



Lorinda Laryea

**U.S. Department of Justice
Acting Co-Principal Deputy Chief, DOJ Criminal Division, Fraud Section**

Lorinda Laryea has been with the Department of Justice, Criminal Division, Fraud Section since 2014, and is currently an Acting Co-Principal Deputy Chief of the Fraud Section. Ms. Laryea was previously the Acting Chief of the Fraud Section, and the Principal Assistant Deputy Chief, an Assistant Chief, and a trial attorney in the Fraud Section's Foreign Corrupt Practices Act ("FCPA") Unit. Ms. Laryea earned the Attorney General's Award for Fraud Prevention for her work on a lottery scam case and the Assistant Attorney General's Award for Distinguished Service for her work on the Odebrecht case. Prior to joining the Department of Justice, Ms. Laryea clerked on the District Court for the District of Columbia and worked at Gibson, Dunn & Crutcher LLP. Ms. Laryea graduated magna cum laude from Brown University and cum laude from Georgetown University Law Center.



Jennifer Arlen

**Norma Z. Paige Professor of Law at New York University School of Law
Founder and Faculty Director, Program on Corporate Compliance and Enforcement
Email: ArlenJ@mercury.law.nyu.edu**

Jennifer Arlen is an internationally recognized expert on corporate criminal enforcement. Author of more than 45 scholarly publications, she currently is the Associate Reporter for enforcement for the American Law Institute's Principles of Law on Compliance, Enforcement, and Risk Management for Corporations. She is past President of the American Law and Economics Association and the Society for Empirical Legal Studies (which she co-founded in 2005), and serves on the Editorial Board of the *American Law and Economics Review*.

Arlen received her B.A. in economics from Harvard College (1982, magna cum laude) and her J.D. (1986, Order of the Coif) and Ph.D. in economics (1992) from New York University. She has been a Visiting Professor at the California Institute of Technology, Harvard Law School, and Yale Law School, and was the Ivadelle and Theodore Johnson Professor of Law and Business at USC Gould School of Law before coming to NYU. She clerked for Judge Phyllis Kravitch on the U.S. Court of Appeals for the 11th Circuit. Arlen teaches Corporations, Business Crime, and a seminar on Corporate Crime and Financial Misdealing.



Matthew Jacques

**Partner & Managing Director, AlixPartners LLP
mjacques@alixpartners.com**

Matt helps clients solve their most complex and important financial reporting, regulatory, investigation, compliance, and litigation problems. In March 2022, he returned to AlixPartners after spending over three years as the Chief Accountant for the Enforcement Division of the U.S. Securities and Exchange Commission. As Chief Accountant, Matt was responsible for leading the Enforcement Division's enforcement program related to financial accounting, disclosure, and auditing enforcement matters. His unique combination of experience as a regulator, forensic accountant, auditor and accounting expert make him a trusted advisor to counsel, regulatory agencies, and company management. Matt earned his bachelor's degree in accounting from the University of Notre Dame. He is a certified public accountant and certified fraud examiner.



Samuel Buell

**Bernard M. Fishman Distinguished Professor of Law, Duke University
Email: buell@law.duke.edu**

Samuel W. Buell is the Bernard Fishman Professor of Law at Duke University. His writing and teaching focus on corporate and business crime and market regulation. He is the author of *Capital Offenses: Business Crime and Punishment in America's Corporate Age* and dozens of articles on the structure of white collar offenses and the institutions and processes of corporate crime enforcement. He has also taught at Washington University in St. Louis and the University of Texas at Austin and he served from 1994 to 2004 as a federal prosecutor in New York, Boston, Washington, and Houston, including for two years on the investigation of the 2001 collapse of the Enron Corporation.



Matthew Galvin

**Counsel, Compliance & Data Analytics for the Fraud Section
U.S. Department of Justice, Criminal Division**

Matt Galvin serves as Counsel, Compliance & Data Analytics for the Fraud Section of the Criminal Division of the Department of Justice. Mr. Galvin oversees the development of data analytics capability within the Fraud Section and promotes enhancements to the evaluation of corporate compliance programs. Prior to joining the Department in 2022, Mr. Galvin was the Chief Compliance Officer for AB InBev, a leading FMCG company, where he won numerous awards for innovation in corporate compliance programs. Mr. Galvin is recognized as a leader in the application of data analytics to deter misconduct and influence ethical behavior and has held positions at the Massachusetts Institute of Technology, Harvard University Business School, and the Sorbonne Law School. Mr. Galvin also spent over a decade practicing in international law firms and graduated cum laude from Boston University and cum laude from Georgetown Law Center.



Eugene Soltes

Professor, Harvard Business School

Email: esoltes@hbs.edu

Eugene Soltes is a Professor of Business Administration at Harvard Business School where his work focuses on corporate integrity and risk management. His research utilizes data analytics to identify organizational cultures and compliance systems that can effectively prevent, detect, and respond to reputational and regulatory threats. Professor Soltes teaches graduate-level courses on regulatory environments and risk management, and was the recipient of the Charles M. Williams Award for outstanding teaching.

Professor Soltes is the author of the bestselling book *Why They Do It: Inside the Mind of the White-Collar Criminal*, which was described by Kirkus Reviews as “a groundbreaking study” on white-collar criminality. Based on years of research and hundreds of hours of interviews, the book refutes popular explanations of why seemingly successful managers engage in misconduct by showing that many make decisions on the basis of intuitions and gut feelings.

Professor Soltes is a leader in utilizing data analytics to predict, detect, and mitigate issues related to organizational misconduct. Drawing on his experience with companies and government agencies, Professor Soltes founded Integrity Lab, which creates integrated, data-driven technology to cultivate organizational integrity and strengthen corporate culture.

Professor Soltes’ contributions have been widely cited by the media including *The Wall Street Journal*, *Financial Times*, *The New York Times*, *NPR*, and *The Economist*. He is also on the editorial board of *Harvard Data Science Review*.

Prior to joining the faculty of Harvard Business School, Professor Soltes received his PhD and MBA from the University of Chicago Booth School of Business, and his AM in statistics and AB in economics from Harvard University.



Veronica Root Martinez

Professor, Duke University School of Law

Email: martinez@law.duke.edu

Veronica Root Martinez is a Professor at Duke University School of Law. Martinez writes about and researches issues related to professional and organizational ethics, drawing on scholarship from the areas of ethics, compliance, corporate and securities law, workplace law, and equity and inclusion. She investigates the institutional mechanisms that organizations can utilize to (i) promote ethical norms within professional and organizational environments, (ii) improve long-term compliance with legal and regulatory requirements, and (iii) encourage the development of diversity and inclusion norms.

Her scholarship has been published or is forthcoming in a range of top journals, including the *Harvard Law Review*, *Columbia Law Review*, *Virginia Law Review*, *Northwestern Law Review*, *Cornell Law Review*, as well as in other venues. She holds a secondary (courtesy) appointment in the Fuqua School of Business in the Management and Organizations area. Outside of Duke, she is currently serving a four-year term on FINRA’s National Adjudicatory Council. Prior to her teaching career, she was a clerk on the U.S. Court of Appeals for the Fifth Circuit and an attorney at Gibson Dunn in Washington, D.C. She is a graduate of the University of Chicago Law School and Georgetown University.



Mark Schneider

Partner, Kirkland & Ellis

Email: mark.schneider@kirkland.com

Mark Schneider a partner at Kirkland & Ellis LLP where his practice focuses on representing businesses, their boards and executives in complex criminal and civil investigations, government enforcement proceedings, and related litigation, often in highly regulated industries and international contexts. Mark has substantial experience representing Japanese companies in US legal matters. Prior to joining Kirkland, Mark served more than ten years with the U.S. Department of Justice in various senior roles including as Chief of Appeals and Deputy Chief of Financial Crimes and Special Prosecutions with the U.S. Attorney’s Office in Chicago, as Special Attorney to the U.S. Attorney General responsible for a high-profile national security investigation, and as Deputy Rule of Law Coordinator for Iraq. A former editor of the *Harvard Law Review* and Marshall Scholar at Oxford, Mark was a lecturer for many years at the University of Chicago Law School, is an elected member of the American Law Institute, and is recognized by Chambers as a leading lawyer, among many other recognitions.



Mark Grundvig

**Assistant Chief, Washington Criminal II Office
United States Department of Justice, Antitrust Division**

Mark Grundvig is Assistant Chief of the Washington Criminal II Office, Antitrust Division, U.S. Department of Justice. Prior to serving as Assistant Chief in Washington Criminal II, Mr. Grundvig was Assistant Chief in the Washington Criminal I Office for four years. He joined the Antitrust Division as trial counsel in 1997. During his time with the Antitrust Division, Mr. Grundvig has focused on investigations and prosecutions of violations of competition laws including price fixing, bid rigging, customer allocation, and related offenses including various types of fraud. During his time with the Antitrust Division, he has served as lead counsel on a broad range of cases including international cartel cases in the auto parts and air transportation industries as well as numerous matters involving procurement fraud and collusion affecting the health care industry. Mr. Grundvig has also served as a Special Assistant United States Attorney in the Eastern District of Virginia and Counsel for the United States Senate, Judiciary Subcommittee on Antitrust, Competition Policy, and Consumer Rights. Prior to joining the Antitrust Division in 1997, Mr. Grundvig worked as a Judicial Clerk for the Arizona Court of Appeals. He received his Juris Doctor, Magna Cum Laude, from the University of Arizona in 1996.





Moderator & Panelist Biographies



Hiroki Habuka

**Research Professor, Kyoto University Graduate School of Law
Non-resident Fellow, Center for Strategic and International Studies
Email: habuka.hiroki.7c@kyoto-u.ac.jp**

Hiroki Habuka is an attorney at law qualified in Japan and New York State, and a lecturer at University of Tokyo Graduate School of Public Policy. His research focuses on innovation governance in digitalized society, which covers AI governance, privacy protection, data security, and digital platform regulatory policies. He is a former Deputy Director for Governance Strategy, Ministry of Economy, Trade and Industry, Japan (METI), where he served as the lead author of two reports, "GOVERNANCE INNOVATION: Redesigning Law and Architecture for Society 5.0" (2020) and "GOVERNANCE INNOVATION Ver.2: A Guide to Designing and Implementing Agile Governance" (2021). In 2020, he was selected by the World Economic Forum Global Future Councils on Agile Governance and Apolitical as the World's Most Influential People Revolutionizing Government (Agile 50). He received a master's degree from Stanford Law School (LL.M., Fulbright Fellow), and a Juris Doctor's degree from University of Tokyo Law School.



Tatsuhiko Inatani

**Professor of Law at Kyoto University
Visiting Researcher at RIKEN AIP
Email: inatani.tatsuhiko.3w@kyoto-u.ac.jp**

Tatsuhiko Inatani is a professor of law at Kyoto University. He specializes in criminology, especially law and technology and corporate crime. He is also a visiting researcher at RIKEN AIP where he explores a suitable governance system of development AI technologies, e.g. autonomous vehicle, with AI scientists and engineers. He has published several influential Japanese books and articles concerned with privacy protection, artificial intelligence and deferred prosecution agreements. He serves as a member of research committee of governance innovation at METI. He received his B.A. from The University of Tokyo and his J.D. from Kyoto University and was a visiting scholar at SciencesPo. Paris and the University of Chicago.



Maiko Meguro

**Director International Digital Strategy and International Affairs,
Commerce and Information Policy Bureau**

Maiko Meguro is Director for International Digital Strategy and International Affairs at Ministry of Economy, Trade and Industry of Japan (METI). She currently leads the METI team on the G7 digital track under Japanese Presidency, establishment of an institutional arrangement to operationalise the DFFT/Data Free Flow with Trust, and other bilateral and multilateral digital cooperation. Before taking on her current position, she has worked in areas such as the WTO/the DS, bilateral and multilateral economic agreements such as Transpacific-Pacific Partnership, and international cooperation on climate change under the United Nations Framework Convention on Climate Change at METI. She also worked at DG CONNECT, the European Commission as a legal officer between 2018-2021. Besides her responsibility with the governments, she is a research fellow of public international law and legal theories at University of Amsterdam, the Netherlands. On her academic account, she publishes from major American, European and Japanese legal journals on the topics such as sources of international law, treaty-making processes, climate change and human rights litigations, international economic law and law and technologies including artificial intelligence.



Kentaro Asai

**Assistant Professor of Australian National University
Email: kentaro.asai@anu.edu.au**

Kentaro Asai joined Australian National University as an Assistant Professor in College of Business and Economics. He earned Ph.D., M.A., and B.A. with Honors in Economics from the University of Chicago. His main research interests lie in corporate studies, banking, industrial organization, and behavioral studies. He has been working with various researchers, institutions, and data sets across the globe. He published internationally in scholarly journals, including PNAS, JFQA, and RCFS, and policy reports in the area of economics and finance. His first book "Corporate Finance and Capital Structure: A Theoretical Introduction" was published from Routledge. He is also a former security analyst at Goldman Sachs.



Tetsuya Yamashita

**Professor of Law at Kyoto University
Email: yamashita@law.kyoto-u.ac.jp**

Tetsuya Yamashita is a professor of law at the Graduate School of Law, Kyoto University, specializing in commercial law. He has published several Japanese books and papers on corporate governance and law, insurance contract theory, and financial regulations. His work has been recognized with prestigious awards from various academic institutions.

Currently, he is involved in the research of the AI & Law Unit at the Center for Interdisciplinary Studies of Law and Policy (CISLP) under the Graduate School of Law, Kyoto University. The unit focuses on developing legal systems that enable us to adapt to the social changes brought about by artificial intelligence. Additionally, he has served as a member of the Working Group on Institutional Design to Promote Agile Governance at the Ministry of Economy, Trade and Industry (METI) of Japan. The group's objective was to provide policy recommendations for building appropriate systems that can implement agile governance in an era of cyber-physical integration.

He received his LL.B. and J.D. degrees from Kyoto University, earning valedictorian honors for both. He also spent time as a visiting scholar at the University of Pennsylvania Law School in the U.S. and the Max Planck Institute for Comparative and International Private Law in Germany.



Yuichiro Watanabe

A member of the Board, Japan In-house Lawyers Association

Yuichiro Watanabe is an emerging Japanese in-house lawyer serving as Lead Counsel and Head of the Legal Department at Airbnb Japan. He was awarded as "In-House Lawyer of the Year" (ALB Japan Law Awards) for two times, and he is the youngest person to win the prize in Japan. His team also won "Most Innovative In-House Team of the Year" for their demonstration of leadership and innovation as they created a new home-sharing law and changed a tour-guide law in Japan. He delivered a presentation at the 20th Congress of the International Academy of Comparative Law covering innovation, technology and legalization. He is a key member of the Japan In-House Lawyers Association (JILA) serving as a Member of the Board.



Moderator & Panelist Biographies



Jennifer Saperstein

Partner, Covington & Burling LLP
Email: saperstein@cov.com

Co-chair of Covington’s Anti-Corruption practice and vice chair of the White Collar group, Jennifer Saperstein is an experienced compliance counselor who advises clients on anti-corruption, anti-bribery, and ethics issues. She is also a key member of the firm’s Institutional Culture and Social Responsibility practice. Named a Compliance “Rising Star” by Law360, Jennifer frequently conducts risk assessments and compliance program assessments, and develops anti-corruption compliance programs for clients across a wide range of industries. She has particular experience implementing technology-based solutions to enhance compliance programs, including the use of data analytics and systems for third party management. As part of her practice, Jennifer regularly assists companies with anti-corruption due diligence and compliance integration in connection with acquisitions, asset purchases, joint ventures, and other investment transactions. Jennifer also leads cross-cutting compliance projects to help companies build and improve their compliance programs across areas of regulatory expertise, bringing together teams of regulatory experts to provide integrated advice and implement compliance program best practices.



Yuichi Ikeda

Partner, PwC Advisory
Email: yuichi.y.ikeda@pwc.com

Yuichi Ikeda is a partner in PwC Japan’s Forensic Services. Yuichi’s expertise is in digital forensics and eDiscovery for white collar crime investigations, domestic and international regulatory investigations and litigations. He has handled variety of investigations in multiple industries including, but not limited to, manufacturing, financial services, medical device and pharmaceuticals, and trading companies. He frequently advises company executives and their counsels regarding the applications of digital forensics to investigations, and organizes a team to provide digital forensic investigation.

In recent years, he created a team of data scientists and engineers to provide forensic data analytics, and started a research about the applications of advanced AI technologies in the area of forensics and development of forensic tools and solutions with AI technologies in them.



Shiho Tanaka

Global Sales Executive, LegalTech AI Division, FRONTEO, Inc,
SVP, Discovery Consulting Division, FRONTEO USA, Inc.
shiho_tanaka@fronteo.com

Shiho Tanaka is a Global Sales Executive and SVP for FRONTEO and FRONTEO USA, leading of sales, marketing, data scientist, and engagement management team in NY office. With a strong background in Sales and extensive corporate experience in Japan, Shiho acts as a bridge between law firms and companies in APEC region and the United States. At FRONTEO, she oversees support for cross-border international lawsuits of Japanese companies, litigation cases in the United States, forensic investigations of Japanese companies, and compliance cases at every level. In addition to her leadership experience, Shiho frequently curates webinars and other informational content from US law firms for Japanese audiences and is responsible for spearheading FRONTEO’s legal information portal initiative.



Vincent Walden

KonaAI CEO

Vincent Walden is CEO of KonaAI, a global, AI-driven software platform focused on anti-fraud, anti-corruption, ESG, sanctions and compliance risks. With over 25 years of professional anti-fraud and forensic technology experience, he specializes in forensic data analytics and was recently recognized as “Certified Fraud Examiner of the Year” in 2022. Mr. Walden has been featured in many publications including Forbes, The Wall Street Journal, and Compliance Week. He is also an Adjunct Professor at Fordham Law School teaching on compliance innovation. Mr. Walden is a staff columnist for FRAUD Magazine writing on anti-fraud innovation and is a co-author of COSO’s “Fraud Risk Management Guide”.

Prior to KonaAI, Mr. Walden was a Managing Director at Alvarez & Marsal’s (A&M) Disputes & Investigations for three years. Prior to A&M he was a partner at Ernst & Young’s Forensic & Integrity Services Practice where he served as a Partner for nine years leading a global team of forensic data analytics professionals. Mr. Walden is a Certified Fraud Examiner (CFE) and a Certified Public Accountant (CPA) and has a Bachelor of Science in Business Administration from the University of Southern California, as well as a Certificate in Strategic Leadership from Harvard Business School.



Sidney Bashago

Partner, Davis Polk & Wardwell LLP
White Collar Defense & Investigations

Sidney Bashago is a member of Davis Polk’s White Collar Defense & Investigations practice in New York. Sidney represents companies, boards of directors, financial institutions and individuals in criminal, regulatory and internal investigations involving allegations of securities fraud, sexual misconduct, foreign corrupt practices, money laundering and other financial crimes. Sidney also advises companies and boards on governance and compliance. Sidney has represented multinational companies, boards and other entities on various critical workplace misconduct matters, including highly sensitive sexual misconduct investigations, proactive assessments, crisis management and related compliance.

